



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,714	08/23/2001	Chantal Roth	NADII.030A

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 620 NEWPORT CENTER DRIVE  
 SIXTEENTH FLOOR  
 NEWPORT BEACH, CA 92660

CONFIRMATION NO. 1777  
**FORMALITIES LETTER**  
  
 \*OC000000006854024\*

Date Mailed: 10/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

01/14/2002 NM0HAMM1 00000008 09938714

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130.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 1 - ATTORNEY/APPLICANT COPY**

O SISTEMA DE INVESTIMENTOS



*SCTOK/JL*  
PATENT

Case Docket No. NADII.030A  
Date: November 19, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Chantal Roth

App. No. : 09/938,714 /

Filed : August 23, 2001

For : SYSTEM AND METHOD  
FOR ACCESSING  
BIOLOGICAL DATA

Group Art Unit : 2171

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 19, 2001

(Date)

Michael L. Fuller, Reg. No. 36,816

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the United States Patent and Trademark Office on October 5, 2001, enclosed are:

- (X) An executed Declaration by Inventor (1 page).
- (X) An copy of an executed Assignment by Inventor (1 page).
- (X) An executed Establishment of Right of Assignee To Take Action and Revocation and Power of Attorney (2 pages).
- (X) 7 pages of informal drawings.
- (X) A copy of the Notice to File Missing Parts dated October 5, 2001.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

Case Docket No. NADII.030A  
Date: November 19, 2001

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached.	\$ -	
TOTAL FEES SUBMITTED HEREWITH		\$ 130

(X) A check in the amount of \$130 to cover the above fees is enclosed.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Michael L. Fuller  
Registration No. 36,516  
Attorney of Record